

**NETWORK SUPPORT FOR CALLER IDENTIFICATION BASED ON BIOMETRIC  
MEASUREMENT**

**BACKGROUND**

The present application is directed toward systems and methods for calling party identification in communications networks. The systems and methods will be described in terms of calling party identification within mobile telephone networks. However, the disclosed systems and methods can be applied to other networks, such as, for example, land line or wire based telephone networks or where calls are placed between wired phone networks and mobile phone networks.

Currently available calling party identification services are often referred to as "Caller ID". However, "Caller ID" is something of a misnomer. Instead of providing the identity of the calling party, currently available services provide information identifying the calling line being used by the calling party. Alternatively, the services or devices provide the called party with the name of the subscriber associated with the calling line. However, there is no assurance that the calling party is the subscriber. For example, the calling party may be a family member of the subscriber. Alternatively, a calling party may place a call from a borrowed phone or from a pay phone. In these instances, the calling party may be misidentified or not identified at all. Therefore, for example, the called party may inappropriately decide to not answer or otherwise screen a call based on the incorrect or nonexistent identification information.

Other instances where an identification associated with an actual calling party, is desirable, include, but are not limited to, small business and family applications, wherein, for example, one or more mobile phones are shared by a plurality of users. For instance, an administrative assistant may be able to anticipate the purpose of a call and retrieve an appropriate file before answering a call, if caller identification information correctly identifies which one of a plurality of possible company cell phone users is currently calling the desk of the assistant. In the household application, it may be anticipated that a call from a first child will be a request for transportation and is therefore, most appropriately answered by an older sibling or first parent, while a call identified as being from a second child may be anticipated as

being related to a notification of an arrival time and therefore is appropriately answered by a second parent or perhaps the nearest family member to the phone.

For the foregoing reasons, there is a desire for methods and systems for providing true caller identification to a called party before the called party answers a  
5 call.

## DESCRIPTION OF DRAWINGS

The invention may take form in various components and arrangements of components, and/or in various procedures and arrangements of procedures. The  
10 drawings are only for purposes of illustrating preferred embodiments. They are not to scale, and are not to be construed as limiting the invention.

Fig. 1 is a flow chart outlining a method for identifying a calling party to a called party before the called party answers a call.

Fig. 2 is a block diagram of a system operative to identify a calling party to a  
15 called party before the called party answers a call.

Fig. 3 is a call flow diagram outlining a call processing scenario wherein a calling party is identified to a called party before the called party answers a call.

## SUMMARY

A method for identifying a calling party to a called party before the called party  
20 answers a call includes receiving an origination message, receiving biometric information regarding the calling party, using the biometric information to access an identity database record of the calling party, retrieving identity information regarding the calling party from the identity database and transmitting an MSC\_Network  
25 Origination message including the identity information retrieved from the identity database.

In some embodiments the origination message includes the biometric information, therefore receiving the origination message includes receiving the biometric information.

Some embodiments include transmitting a request for the biometric  
30 information to user equipment of the calling party.

Receiving biometric information regarding the calling party can include receiving retinal scan data and/or receiving facial recognition data.

Using the biometric information to access an identity database record of the calling party can include extracting identifying parameters from the biometric information and using the extracted identifying parameters as a key or index into the identification database to access an identity database record of the calling party.

- 5           Some embodiments include determining that the called party subscribes to a biometric information based caller ID feature.

          Some embodiments include receiving one or more spoken words and using information in the one or more spoken words in combination with the biometric information to access the identity database. Using information in the spoken name  
10 in combination with the biometric information to access the identity database can include converting the one or more spoken words into one or more text words and using the one or more text words and the biometric information as one or more keys or indexes into the identification database to access an identity database record of the calling party.

15           A system operative to identify a calling party to a called party before the called party answers a call includes an identity database accessible at least in part through the use of biometric data, the identity database including identifying records in association with respective biometric data, a switching center operative to receive biometric information regarding a calling party and use the received biometric  
20 information to access the identity database to retrieve identity information therefrom and to generate and transmit an MSC\_Network Origination message including the identity information.

          For example, the switching center can be operative to receive retinal scan information as the biometric data.

25           The system can include a piece of communications equipment (such as a phone) adapted to collect retinal scan information and transmit the retinal scan information to the switching center. The piece of communications equipment can be adapted to collect the retinal scan information from a user of the piece of communications equipment each time a call is to be placed and to transmit the  
30 retinal scan information as part of a Mobile Origination message. Alternatively, or additionally, the piece of communications equipment can be adapted to collect the retinal scan information from a user of the piece of communications equipment upon receiving a request for retinal scan information from the switching center.

The switching center can also be operative to receive facial image data as the biometric data. In that case, the system can include a piece of communications equipment adapted to collect and transmit facial image information to the switching center each time a call is to be placed and to transmit the facial image information as part of a Mobile Origination message. Additionally, or alternatively, the piece of communications equipment adapted to collect facial image information from a user of the piece of communications equipment upon receiving a request for facial image information from the switching center.

The switching center can be operative to receive raw biometric data and to parameterize the raw biometric data for use as a key or index into the identity database. Alternatively, or additionally, the switching center can be operative to receive parameterized biometric data from the piece of user equipment used by the calling party and to use the parameterized biometric data for as a key or index into the identity database.

Some embodiments include a system for identifying a calling party to a called party before the called party answers a call including means for receiving an origination message, means for receiving biometric information regarding the calling party, means for using the biometric information to access an identity database record of the calling party, means for retrieving identity information regarding the calling party from the identity database and means for transmitting an MSC\_Network Origination message including the identity information retrieved from the identity database.

The means for receiving an origination message can include means for receiving an origination message including the biometric information, thereby including the means for receiving the biometric information.

The system can include means for transmitting a request for the biometric information to user equipment of the calling party.

The means for receiving biometric information regarding the calling party can include means for receiving retinal scan data and/or means for receiving facial recognition data.

The means for using the biometric information to access an identity database record of the calling party can include means for extracting identifying parameters from the biometric information and means for using the extracted identifying

parameters as a key or index into the identification database to access an identity database record of the calling party.

Some embodiments include means for determining that the called party subscribes to a biometric information based caller ID feature.

5        Some embodiments include means for receiving one or more spoken words and means for using information in the one or more spoken words in combination with the biometric information to access the identity database. The means for using information in the spoken name in combination with the biometric information to  
10        access the identity database can include means for converting the one or more spoken words into one or more text words and means for using the one or more text words and the biometric information as one or more keys or indexes into the identification database to access an identity database record of the calling party.

#### DETAILED DESCRIPTION

15        A method **110** for providing caller identification information to a called party can include collecting **114** biometric information from the calling party, transmitting **118** a call request, transmitting **122** biometric information, receiving **126** the call request, and receiving **130** the biometric information. If receiving actual caller identification information before answering a call is not a universally available feature  
20        of telephone service, a determination **134** may be made as to whether one of the calling party or the called party subscribes to an actual caller identification service. If actual caller identification is subscribed for, an identity database is accessed **138** using the biometric information and an identity of the calling party is retrieved **142**. The retrieved identity information is used to generate **146** a message including the  
25        identity information and the message is transmitted **150**. If an actual caller ID feature is not subscribed for (and is not universally available) the call is processed **154** without providing the actual caller ID feature.

Collecting **114** biometric information from the calling party can include, for example, sensing a fingerprint of the calling party, recording an image of a retina of  
30        the calling party and/or taking a photograph of the face of the calling party. The biometric information **114** can be collected before the call request **118** is transmitted (e.g. before the caller presses a send button) or can be collected **114** at the request of a network element. In the former case, transmitting **118** the call request can include transmitting **122** the biometric information. In the latter case, the

transmission of **122** the biometric information may occur separately from the transmission **118** of the call request.

The transmitted **118** call request and transmitted **122** biometric information are received **126, 130** in a network element. For example, the call request and  
5 biometric information are received **126, 130** in a switching center. If the call request includes the biometric information, the switching center interprets the call request to include a request to provide actual caller ID information to the called party.

If the determination **134** is made that the actual caller ID service is subscribed for (or if the service is universally available) the identity database is accessed **138**  
10 using biometric information. For example, where the number of possible identities is relatively small, or where available processor power or computation speed permit it, identity database access may include simply comparing the received **130** biometric information with each biometric information record stored in the identity database. For instance, correlation techniques are used to find matching data. Alternatively,  
15 the biometric data is parameterized before being used to access the identity database. Parameterization may be orchestrated by the network element, or may be performed by the user equipment of the calling party before the biometric information is transmitted **122** to the network element. However, it is anticipated that due to economies of scale and engineering considerations, it is more practical for the  
20 network element to orchestrate the parameterization of the biometric information.

Parameterization is a process by which the biometric information is analyzed and described in terms of a set of numbers or parameters. For instance, the parameterization of a fingerprint may include the counting of the number of swirls in the print, some measure of a lean of the swirls, and/or some measure of the  
25 circularity or distortedness of the swirls, etc. Retinal scan information may be parameterized by counting the number of large veins and the number of small veins and by measuring the relative spacing and direction of veins. A facial image may be parameterized by identifying facial features such as the eyes, the nose, the mouth, and the ears and measuring relative positions therebetween, such as, for example,  
30 an eye to eye distance, an eye to mouth distance, a mouth to nose distance, and an ear to ear distance.

The number and quality of the parameters used to describe the biometric information is preferably selected to uniquely identify each potential calling party. However, it is anticipated that in some instances, biometric information associated

with two or more people may be parameterized to the same set of numerical values. Therefore, in addition to the biometric information, the network element may request additional information from the calling party. For example, the network element may request the name of the calling party. The calling party may enter their name  
5 through keypad entry or other means, such as, through verbal utterance. A voice to text converter may be used to convert an uttered name or other identifying phrase to text. It is anticipated that the combination of identifying phrase and biometric information should uniquely identify the calling party in almost all cases. Additionally, in systems where the length of time required to find a match is a function of the  
10 number of database entries that must be examined, the phrase or name utterance may be used to vastly reduce the number of database entries that must be searched.

Once the identity database is accessed and an entry in the database is located that matches the biometric information, or the biometric information in combination with the additional information, identifying information associated with  
15 the matching record is retrieved **142**. For example, a name or other identifier of the calling party is retrieved **142**. The retrieved **142** identity information is then used to generate **146** a message, such as, for example an MSC\_Network Origination Message. For instance, the retrieved **142** identity information is included in a caller ID field of the MSC\_Network Origination Message. The generated **146** message is  
20 then sent or transmitted **150** toward user equipment of the called party over a network. The identity of the calling party is thereby displayed or otherwise provided to the called party before the called party answers the call.

The retrieved **142** identity information may alternatively or additionally include a directory number of the calling party and/or a photograph of the calling party. The  
25 directory number and/or the photograph may also be included in one or more generated **146** messages, thereby identifying the calling party to the called party before the called party answers the call.

If for any reason a match is not found between the received biometric information **130** and the records accessed **138** in the identity database, call  
30 processing may continue as provided for in the prior art.

Referring to FIG. 2, a system **210** operative to identify a calling party to a called party before the called party answers a call includes an identity database **214** and a switching center **218**. The identity database **214** includes identifying records in association with respective biometric data. The database **214** is accessed **138**, at

least in part, through the use of biometric data. The switching center **218** is operative to receive **130** biometric information regarding a calling party and to use the received **130** biometric information to access the identity database **214** in order to retrieve **142** identity information from the database. The switching center **218** then  
5 uses the retrieved **142** identity information to generate **146** a message, such as an MSC\_Network Origination Message including the identity information. The switching center **218** then transmits **150** the MSC\_Network Origination Message to user equipment of the called party or to a serving switching center thereof, thereby providing identification information regarding the calling party to the called party  
10 before the called party answers a requested call.

For example, the Mobile Switching Center **218** includes a Biometric Information Interpreter **222**, a Feature Gateway **226** and a Caller Identifier **230**. Additionally, the Mobile Switching Center (MSC) **218** includes Main MSC Functions **234** associated with call termination and tear down and other functions known in the  
15 art. Optionally, the MSC **218** includes a Voice to Text Converter **238**. The Biometric Information Interpreter **222** Feature Gateway **226**, Caller Identifier **230**, Main MSC Functions **234** and the Voice to Text Converter **238** communicate with devices external to the Mobile Switching Center **218** through the services of a Network Interface **242** which connects them **222**, **226**, **230**, **234**, **238** to the external devices  
20 over a network **246**.

If the Main MSC Functions **234** receive **126** a call request including information foreign to the Main MSC Functions **234**, the Biometric Information Interpreter **222** is notified. The Biometric Information Interpreter **222** examines the information that was foreign to the Main MSC Functions **234** to determine if the  
25 information is biometric information. For instance, the Biometric Information Interpreter **222** determines if the foreign information is fingerprint information, retinal scan information, and/or a facial image. Additionally, the Biometric Information Interpreter **222** determines whether the foreign information is raw biometric information or parametized biometric information. If the foreign information is  
30 biometric information, the Feature Gateway **226** is notified. The Feature Gateway **226** then determines **134** if the called party is entitled to receive Actual Caller ID information. The Feature Gateway **226** determines **134** if the Actual Caller ID feature is subscribed for. If the Actual Caller ID feature is not subscribed for, processing of the call is returned to the Main MSC Functions **234** and the call is



processed **154** without the Actual Caller ID feature. If the Actual Caller ID feature is subscribed for, or if the feature is universally available, processing is passed to the Caller Identifier **230**.

5 The Caller Identifier **230** uses the biometric information, which is provided to the Caller Identifier **230** by the Biometric Information Interpreter **222**, to access **138** information in the Identity Database **214**. For example, if the biometric information is raw and the identity database can accept and process queries including raw biometric data, then the Caller Identifier **230** generates such a query and transmits it to the Identity Database **214**. The Identity Database **214** then compares the raw  
10 biometric information to raw biometric information stored in records of the database. When a matching record is found, the Identity Database **214** transmits identity information associated with the matching record to the Caller Identifier **230**.

If the Identity Database **214** is too large, for such a search to be practical, the Caller Identifier **230** may request that the Feature Gateway **226** ask the calling party  
15 to state an identifying phrase such as, for example, his or her name or a password. When the calling party provides the requested utterance, the Feature Gateway **226** passes a recording of the utterance to the Caller Identifier **230**. The Caller Identifier **230** passes the utterance to the Voice to Text Converter **238**. The Voice to Text Converter **238** applies voice recognition algorithms to the utterance and provides the  
20 Caller Identifier **230** with a text (or ASCII code) version of the utterance. The Caller Identifier **230** includes the text version of the utterance in the query. The Identity Database **214** then limits the raw biometric data comparison to only those records associated with the same text string. Again, when a match is found, identifying information associated with the matching record is transmitted by the Identity  
25 Database **214** to the Caller Identifier **230**.

Alternatively, if the records of the Identity Database **214** are associated with parameterized biometric data and the biometric information received from the Biometric Information Interpreter **222** is raw biometric information, the Caller Identifier **230** orchestrates the parameterization of the biometric information. For  
30 example, the Caller Identifier **230** transmits the raw biometric information to a Parameterizer **250**. The Parameterizer **250** analyzes the raw biometric data and generates a set of numbers describing information contained within the raw biometric data. For example, as explained above, where the raw biometric information is a fingerprint, the Parameterizer **250** may generate a swirl count, a swirl direction or

angle, and/or series or vectors describing the ridges and valleys of the print. Where the raw biometric data is a retinal scan, the Parameterizer **250** generates a similar set of numbers describing relative locations, curvatures, and shapes or routes of veins in the retina of the caller. Where the raw biometric information is a facial image, the Parameterizer **250** performs facial recognition techniques on the image, locates facial features and determines relative locations and measurements of the facial features. These measurements are the parameterization of the facial image data. The Parameterizer **250** passes the parameterized biometric data back to the Caller Identifier **230**. The Caller Identifier **230** includes the parameterized data in the database query which is transmitted to the Identity Database **214**. The Identity Database **214** uses the parameterized data as a key or index to find a record associated with matching biometric parameters. Identity information from the matching record is passed to the Caller Identifier **230**.

The Caller Identifier **230** passes the identity information to the Main MSC Functions **234** which use the information to generate **146** a message including the identity information received from the Identity Database **214**. For example, the Main MSC Functions **234** Generate **146** an MSC\_Network Origination Message. The generated **146** message is transmitted **150** over the Network **246** toward the User Equipment **254** of the called party where it is displayed or otherwise provided to the called party before the called party answers the call. The identifying information can be a simple text string including a name of the calling party and/or a photograph of the calling party. The photograph can be a photograph retrieved from the Identity Database **214** or, where the biometric information received is a raw facial image, the raw facial image may be transmitted to the User Equipment **254** of the called party.

In other scenarios, the received **126** call request might not include biometric data. In such embodiments, the Feature Gateway **226** makes the determination **134** as to whether the Actual Caller ID feature is subscribed for. For every call request received **126** by the Mobile Switching Center **218**. If the Actual Caller ID feature is subscribed for, the Feature Gateway **226** requests that the calling party provide biometric information. If biometric information is not provided, the call is processed **154** without providing Actual Caller ID information. If biometric information is provided, it is passed to the Biometric Information Interpreter **222** and processing proceeds as described above.

Referring to FIG. 3, in an exemplary embodiment / scenario, a calling party uses Mobile User Equipment **310** to place a call. As part of the call placing procedure, a biometric image is captured. For example, the mobile device includes a camera and a facial image is captured. Alternatively, the Mobile User Equipment **310** includes a retinal scanner and an image of the user's retina is captured. In yet a third instance, the Mobile User Equipment **310** includes a fingerprint sensor and an image or similar representation of a fingerprint of the caller is captured. Once the biometric information is collected **114**, a call request or Mobile Origination Message **314** is transmitted **118**. The mobile origination message includes the collected **114** Biometric Information in the form of a digital image. Therefore, the biometric information is also transmitted **122**. A Cell Site **318** relays the origination message **314** to a Mobile Switching Center **322**. The Mobile Switching Center **322** receives **126, 130** the call request and biometric information and recognizes the call request as one that could be associated with the provision of actual caller identification information. The Mobile Switching Center **322** queries **326**, a Subscriber Database **330** in order to determine if the called party subscribes to an Actual Caller ID feature. The Subscriber Database **330** provides **334** the Mobile Switching Center **322** with the state of an Actual Caller ID feature activation bit. The bit indicates that the called party does subscribe to the Actual Caller ID feature. Since the feature is subscribed for, the Mobile Switching Center requests additional information in order to limit the number of records that must be searched in a raw data comparison search. For example, the Mobile Switching Center **322** sends an utterance request message to the user equipment **310** of the calling party. For example, the utterance request message **338** is a request for the calling party to utter his or her name. The uttered name is transmitted as an utterance response **342** to the Mobile Switching Center **322**. The Mobile Switching Center transmits the spoken name **344** to a Voice to Text Conversion module **346**. The Voice to Text Conversion module **346** transmits a text version **348** of the spoken name **344** to the Mobile Switching Center **322**. The Mobile Switching Center **322** includes the text version of the name and the digital image received **130** with the received **126** call request in the database query **350** transmitted to an Identity Database **352**. The Identity Database **352** finds a matching record and transmits identity information **354** to the Mobile Switching Center **322**. For example, the identity information **354** is a name, digital image and/or directory number of the calling party. The Mobile Switching Center **322** then generates an

MSC\_Network Origination Message **360** and transmits it toward user equipment (not shown) of the called party over a network **370**.

5 The invention has been described with reference to the preferred embodiments. Obviously, modifications and alterations will occur to others upon a reading and understanding of this specification. It is intended that the invention be construed as including all such modifications and alterations insofar as they come within the scope of the appended claims or the equivalents thereof.

We claim: